(703) 305-9822



FACSIMILE COVER SHEET



DATE: November 4, 2003

TO: Examiner: Not yet assigned FAX NO.:

Art Unit: Not yet assigned

FROM: Jeffrey G. Toler

Reg. No. 38,342

RE U.S. App. No.: 10/654,858, filed September 4, 2003

Applicant(s): Ken R. Jones et al.

Atty Dkt No.: 1033-SS00406

Title: ENHANCED NETWORK MANAGEMENT SYSTEM

NO. OF PAGES (including Cover Sheet): 5

MESSAGE:

Attached please find:

☑ Information Disclosure Statement transmittal (3 pages)

Form Substitute PTO-1449A (1 page)

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CONFIDENTIALITY NOTE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Ken R. Jones et al.

Title:

ENHANCED NETWORK MANAGEMENT SYSTEM

Application No.: 10/654,858

Filed:

September 4, 2003

Examiner:

Not yet assigned

Group Art Unit: Not yet assigned

Atty. Docket No.: 1033-SS00406

Confirmation No.: Not yet assigned

COMMISSIONER FOR PATENTS

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sit:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form Substitute PTO-1449A (1 page)

Other: N/A

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

an admission that the information necessarily is, or corresponds to, prior art with ١. respect to the instant invention;

a representation that a search has been made, other than as described below; or 2.

an admission that the information cited herein is, or is considered to be, material 3. to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the USPTO, Office of the OIPE, facsimile number (703) 305-9822, on November 4, 2003.

Reina R. Bernfeld Typed or Printed Name

PATENT

STATEMENT UNDER 37 C.F.R. § 1.704(d)

| If the a May 29, 2000 | above-identified application is an original application filed on or after: : |
|--------------------------|--|
| | each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. |
| | FEES DUE |
| This I | nformation Disclosure Statement is being filed: |
| | within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required. |
| | before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required. |
| | during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement. |
| | during the period specified in § 1.97(c). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. |
| | during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement. |

PATENT

Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account <u>50-2469</u>.

11/4/2003

Date

Respectfully submitted,

Jeffrey G. Toler, Reg. No. 38,342

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet 1 of 1 (use as many sheets as necessary)

Complete if Known
Application Number 10/654,858
Filling Date September 4, 2003
First Named Inventor Ken R. Jones et al.
Group Art Unit Not yet assigned
Examiner Name Not yet assigned
Attorney Docket Number 1033-SS00406

U.S. PATENT DOCUMENTS

| | | | U.S. PATENT DOC | NI DOCUMENTS | | |
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| Examiner Initials | Cite No.1 | U,\$. Patent Document Number Kind Code 2 (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
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PUBLICATIONS

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| Examiner Initials " | Cite No.1 | Title of Publication | Date of Publication of Cited Document MM-DD-YYYY |
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| Examiner | Date | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number, 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the twoletter code (WIPO Standard ST,3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible, applicant is to place a check mark here if English language Translation is attached.